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# TRANSMITTAL FORM

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| | |
|---|---|
| Application Number | 09/537,372 |
| Filing Date | March 24, 2000 |
| First Named Inventor | Lloyd A. Groveman |
| Art Unit | 3628 |
| Examiner Name | S. E. Chencinski |
| Total Number of Pages in This Submission: 3 | Attorney Docket Number: 02902/000G377-US0 |

## ENCLOSURES (*Check all that apply*)

- [ ] Fee Transmittal Form
  - [ ] Fee Attached
- [ ] Amendment/Reply
  - [ ] After Final
  - [ ] Affidavits/declaration(s)
- [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [ ] Information Disclosure Statement
- [ ] Certified Copy of Priority Document(s)
- [ ] Reply to Missing Parts/ Incomplete Application
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- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [ ] Power of Attorney, Revocation Change of Correspondence Address
- [ ] Terminal Disclaimer
- [ ] Request for Refund
- [ ] CD, Number of CD(s) _____
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- [ ] After Allowance Communication to TC
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- [ ] Proprietary Information
- [x] Status Letter
- [x] Other Enclosure(s) (please Identify below):

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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|---|---|---|---|
| Firm Name | DARBY & DARBY P.C. | | |
| Signature | | | |
| Printed name | David Leason | | |
| Date | August 30, 2005 | Reg. No. | 36,195 |

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Docket No.: 02902/000G377-US0
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:
Lloyd A. Groveman et al.

Application No.: 09/537,372

Filed: March 24, 2000 Art Unit: 3628

For: ACTIVE ACCOUNT MANAGEMENT USING VOLATILITY ARBITRAGE Examiner: S. E. Chencinski

**STATUS INQUIRY**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

It is respectfully requested that the attorney named below be advised of the status of the above-identified application. Please advise us of when we might expect to receive an Office Action from the Patent and Trademark Office.

Dated: August 30, 2005

Respectfully submitted,

By _____
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09/01/05

TFW 3628

Application No. (if known): 09/538,372 Attorney Docket No.: 02902/000G377-US0

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